

## Research Article

# First Line Treatment for Advanced Gastroesophageal Cancer: Capecitabine Plus Oxaliplatin (CAPOX) versus Epirubicin, Oxaliplatin Plus Capecitabine (EOX)

Pani CK<sup>1</sup>, Dubashi B<sup>1</sup>, Cyriac SL<sup>1</sup>, Dhanraj KM<sup>1</sup>, Verma SK<sup>2</sup>, Sistla SC<sup>3</sup> and Kayal S<sup>1\*</sup>

<sup>1</sup>Department of Medical Oncology, Jawaharlal Institute of Postgraduate Medical Education and Research (JIPMER), India

<sup>2</sup>Department of Pathology, Jawaharlal Institute of Postgraduate Medical Education and Research (JIPMER), India

<sup>3</sup>Department of Surgery, Jawaharlal Institute of Postgraduate Medical Education and Research (JIPMER), India

\*Corresponding author: Kayal S, Department of Medical Oncology, Regional Cancer Centre, JIPMER, Dhanvantari Nagar, Puducherry-605006, India

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## Abstract

Chemotherapy is the mainstay of treatment for advanced gastroesophageal cancer (AGC). There is no consensus whether doublet or triplet chemotherapy is better. Hence our study aimed to compare CAPOX (capecitabine and oxaliplatin) with EOX (epirubicin, oxaliplatin plus capecitabine) as first line treatment for AGC. From December 2012 to July 2014, total of 69 patients were randomly assigned; 35 to EOX arm (epirubicin 50 mg/m<sup>2</sup> on day1, capecitabine 625 mg/m<sup>2</sup> twice daily for 21 day and oxaliplatin 130 mg/m<sup>2</sup> on day1 three weekly for 8 cycle) and 34 to CAPOX arm (capecitabine 1000 mg/m<sup>2</sup> twice daily for 14 days and oxaliplatin 130 mg/m<sup>2</sup> on day 1 in a three weekly cycle for 8 cycle). Median age at diagnosis was 55 years. The median number of chemotherapy cycle delivered was 7.45% completed planned treatment. 63.8% patient needed dose modification and 33.3% had treatment discontinuation due to grade 3/4 toxicity. Incidence of grade 3/4 neutropenia was significantly more in EOX where as diarrhoea and vomiting were more in CAPOX group. The ORR (overall response rate) was 63% in the entire cohort and 54.5% and 71.4% in the CAPOX and EOX group respectively. Median follow up was 15.2 month. Median OS (overall survival) was 8.1 and 10.3 months in the CAPOX and EOX groups respectively; p=0.298, however there was a trend favouring PFS (progression free survival) in the EOX group (5.5 vs. 8.3 months in CAPOX and EOX respectively; p=0.06). No significant difference was observed between the two regimens with respect to ORR, PFS and OS. Doublet chemotherapy regimen (CAPOX) has similar efficacy as a triplet regimen (EOX), however, with higher incidence of gastrointestinal toxicity.

**Keywords:** Advanced gastric cancer; Palliative chemotherapy; Epirubicin; Oxaliplatin; Capecitabine

## Abbreviations

AGC: Advanced Gastroesophageal Cancer; ALT: Alanine Transaminase; ALP: Alkaline Phosphatase; AST: Aspartate Transaminase; CAPOX: Capecitabine and Oxaliplatin; CR: Complete Response; EOX: Epirubicin, Oxaliplatin Plus Capecitabine; ECOG: Eastern Co-Operative Group; GEJ: Gastro Esophageal Junction; HR: Hazard Ratio; NCI-CTCAE: National Cancer Institute - Common Terminology Criteria for Adverse Events; ORR: Overall Response Rate; OS: Overall Survival; PD: Progressive Disease; PFS: Progression Free Survival; PR: Partial Response; RECIST: Response Evaluation Criteria in Solid Tumors; SD: Stable Disease; TTP: Time To Progression; ULN: Upper Limit of Normal

## Introduction

Gastric cancer is the fifth most common cancer and third most common cause of death worldwide. Despite recent advances in the diagnosis and treatment of gastric cancer, many patients present with advanced disease and have poor survival [1]. According to data from the National Cancer Institute Surveillance, Epidemiology and End Results (SEER) Program, the five-year survival for patients with gastric cancer improved only modestly over the last 50 years,

from 12 percent in the years 1950 to 1954, 22 percent during the period 1996 to 2003 [2]. The median survival for advanced gastric cancer is about four months without treatment, and this extends up to one year with current treatment modalities. Chemotherapy is the mainstay of treatment for advanced gastroesophageal cancer (AGC). Initial trials used single agent drugs versus best supportive care. Subsequent studies showed that combination chemotherapy result in superior outcomes compared to monotherapy [3]. Triple drug regimen is better than double in the form of increased overall response rate (ORR) and time to progression (TTP) but is associated with increased myelosuppression and infectious complications [4]. The recent REAL-2 trial has proven that capecitabine is equivalent to 5-FU and oxaliplatin is equivalent to cisplatin with comparable or even more response rate and survival [5]. Combination therapies using cisplatin and fluoropyrimidines with or without epirubicin or docetaxel have been widely used as first-line treatments for AGC. There is no strong data whether doublet or triplet chemotherapy is better, though ORR and TTP favors triplet regimen, there is increased toxicity. We conducted a pilot study at our centre of capecitabine and oxaliplatin (CAPOX) in locally advanced and metastatic gastroesophageal cancer (unpublished data) that showed an ORR of 47.3%, and median survival of 8 months, which are at par with

**Table 1:** Baseline patient characteristics.

Base line Parameters		Entire Cohort n=69(%)	Group A CAPOX n=34	Group B EOX n=35	P value
Age (median)		55 (18-70)	55 (25-70)	55 (18-70)	0.890
Sex	Male	51 (74%)	23 (67.6%)	28 (80%)	0.243
	Female	18 (26%)	11 (32.4%)	7 (20%)	
Male: Female		2.833	2.09	4	
Stage	Locally advanced	12 (17.4%)	6 (17.6%)	6 (17.1%)	0.956
	metastatic	57 (82.6%)	28 (82.4%)	29 (82.9%)	
Site of Disease	GEJ	14 (20%)	8 (23%)	6 (18%)	0.86
	Body	7 (10%)	3 (9%)	4 (11%)	
	Antro pyloric	39 (57%)	18 (53%)	21 (60%)	
	Multicentric	9 (13%)	5 (15%)	4 (11%)	
ECOG PS	1	57 (83%)	29 (85.3%)	28 (80%)	0.562
	2	12 (17%)	5 (14.7%)	7 (20%)	
Symptom Duration(months)		3.79±2.54	3.42±1.88	4.15±3.038	0.236
Weight Mean (kg)		47.2±1.094	44.4±10.45	50±10.84	0.033
Hb (Hemoglobin)		10.18±1.94	9.6 ±1.63	10.7±2.09	0.018
Hb	<10gm/dl	31 (45%)	20 (59%)	11 (31.4%)	0.022
	>10gm/dl	38 (55%)	14 (41%)	24 (68.6%)	
Platelet Count		3.77±1.46	3.72±1.52	3.82±1.42	0.779
Platelet Count	<4 lakh/cumm	44 (64%)	21 (62%)	23 (66%)	0.733
	>4 lakh/cumm	25 (36%)	13 (38%)	12 (34%)	
Histology	AIT	37 (55%)	21 (61.8%)	16 (48.5%)	0.493
	ADT	30 (45%)	13 (38.2%)	17 (51.5%)	
	SCC	2 (2.9%)	0 (0%)	2 (5.7%)	
Palliative Surgery	Done	40 (58%)	16 (47%)	24 (69%)	0.07
	Not done	29 (42%)	18 (53%)	11 (31%)	

ADT: Adenocarcinoma Diffuse Type; AIT: Adenocarcinoma Intestinal Type; ECOG PS: Eastern Co Operative Group Performance Status; GEJ: Gastroesophageal Junction; SCC: Squamous Cell Carcinoma

current literature. With this encouraging data we planned to conduct a randomized clinical study comparing CAPOX with epirubicin, capecitabine and oxaliplatin (EOX) in AGC.

## Methods

It was a single centre randomized prospective clinical study conducted in the department of Medical Oncology, Regional Cancer Centre, JIPMER, Puducherry. Patients were randomized by computer generated simple randomization method. The main inclusion criteria were histologically proven adenocarcinoma or squamous cell carcinoma of gastric or gastroesophageal region, locally advanced and metastatic disease, age 18-70 years, Eastern cooperative group (ECOG) performance status less than equal to 2 after initial stabilization, able to take oral medication, Chemotherapy naïve patients and a valid informed consent. The exclusion criteria were prior radiotherapy/chemotherapy, significant organ dysfunction (Ejection fraction <50%, serum Creatinine >2 mg/dl, alanine transaminase (ALT) and aspartate transaminase (AST) ≥3 times upper limit of nominal (ULN), alkaline phosphatase (ALP) ≥5 times ULN, serum bilirubin >3 mg/dl) and concomitant malignancy. The study was started after approval by the Institute Ethics Committee.

## Patient characteristics

A total of 69 patients were enrolled during the study period from February 2013 to July 2014 and data was analyzed in December 2014. Patients were randomly assigned to CAPOX arm (group A, n=34) and to EOX arm (group B, n=35). Multiple sites of metastasis and ascites were seen in one-third of cases. Palliative surgical intervention in the form of gastric bypass surgery, feeding jejunostomy was done in 58% of our patients. The baseline patient and disease characteristics (Table 1) were similar in both groups except mean weight at presentation (44.4±10.45 vs. 50±10.84, p=0.033) and mean hemoglobin at presentation (9.6±1.63 vs. 10.7±2.09, p=0.018), which were significantly high in the EOX arm.

## Treatment

Eligible patients were allotted to one of the 2 groups after randomization. Group A i.e. CAPOX chemotherapy schedule included Oxaliplatin 130 mg/m<sup>2</sup> IV infusion over two hours on day 1 and Capecitabine 1000 mg/m<sup>2</sup> orally twice daily on days 1 to 14 in a 21day treatment cycle for 8 cycle [6]. Group B i.e. EOX chemotherapy schedule included Epirubicin 50 mg/m<sup>2</sup> iv bolus, Oxaliplatin 130 mg/m<sup>2</sup> IV infusion over two hours on day 1 and Capecitabine 625

**Table 2:** Course of chemotherapy delivery.

Chemotherapy delivery		Entire Group (n=69)	Group A CAPOX(n=34)	Group B EOX(n=35)	P value
Median no of cycle (range)		7(2-8)	6.5 (3-8)	8 (2-8)	0.241
No of patients who completed planned treatment		31(44.9%)	13 (41.9%)	18 (58.1%)	0.271
Treatment discontinuation due to chemotoxicity		23 (33.3%)	15 (44%)	8 (22.9%)	0.061
No of patients with dose modification		44 (63.8%)	24 (70.6%)	20 (57.1%)	0.245
Chemotherapy dose modification	capecitabine	43 (62.3%)	24 (70.6%)	19 (54.3%)	0.163
	oxaliplatin	14 (20.2%)	8 (23.5%)	6 (17.1%)	0.510
	epirubicin	6 (8.7%)	0	6 (17.1%)	

**Table 3:** Adverse events in the chemotherapy regimens.

Adverse event	Incidence of any grades of adverse event (per cycle)		Rates of grade 3/4 adverse events (per patient)		
	Group A CAPOX (n=201)	Group B EOX (n=227)	Group A CAPOX (n=34)	Group B EOX (n=35)	P
Anemia	38(18.9%)	17 (7.4%)	0	2 (5.7%)	0.493
Neutropenia	15 (7.4%)	22 (9.7%)	1 (2.9%)	8 (22.8%)	0.028
Thrombocytopenia	21 (10.4%)	18 (7.9%)	3 (8.8%)	6 (17.1%)	0.477
Nausea	77 (38.3%)	59 (26%)	1 (2.9%)	0	0.493
Vomiting	90 (44.7%)	58 (25.5%)	8 (23.5%)	0	0.002
Diarrhea	63 (31.3%)	54 (23.8%)	6 (17.6%)	0	0.011
Mucositis	12 (5.9%)	4 (1.7%)	4 (11.7%)	1 (2.8%)	0.198
HFS	96 (47.7%)	92 (40.5%)	3 (8.8%)	3 (8.6%)	0.970
Peripheral neuropathy	55 (27.36%)	47 (20.7%)	1 (2.9%)	1 (2.8%)	1.000
Fatigue	11 (5.4%)	6 (2.6%)	7 (20.8%)	4 (11.4%)	0.342
DVT	2 (1%)	5 (2.2%)	0	0	-

HFS: Hand Foot Syndrome; DVT: Deep Vein Thrombosis

mg/m<sup>2</sup> orally twice daily on days 1 to 21 in a 21day treatment cycle for 8 cycle [5]. Adverse effects were clinically assessed and graded as per NCI common terminology criteria for adverse event (NCI-CTCAE) version 4.0 [7]. Patients were monitored minimum twice on outpatient basis in each cycle and more frequently if any adverse event occurred. Patients who had reached the primary endpoint i.e. progressed anytime during the study, either on therapy or after completion during follow-up, and with a good PS were considered for second line chemotherapy with Docetaxel 75 mg/m<sup>2</sup> q3 weekly for 6 cycles. For patients with poor performance status on progression best supportive care was given. Fluorouracil, oxaliplatin and irinotecan (FOLFIRI) was given as a third line chemotherapy for eligible patients.

### Assessment

Progression free survival (PFS) was defined as the time from the randomization to radiologically proven progressive disease (PD) or death without prior PD, whichever came first. All images for tumor responses were reviewed by internal radiologist, according to the Response Evaluation Criteria in Solid Tumors (RECIST) version 1.1 [8]. Overall survival (OS) was defined as the interval from randomization to death from any cause or the date of last follow-up.

### Statistical consideration

Descriptive statistics was used for baseline characteristics, disease factors & treatment details. Secondary outcome variables (response rate, toxicities) were analyzed using Chi-square/ Fisher's exact test. OS and PFS were estimated using the Kaplan -Meier method and

differences between groups were examined by Log rank test. Median follow-up time was calculated using the Kaplan-Meier method for potential follow up. Cox regression (univariate and multivariate) method for proportional hazard was used to identify significant predictors of survival outcome. Censoring for survival analysis was done on 31st December 2014. SPSS v 19.0 was used for analysis.

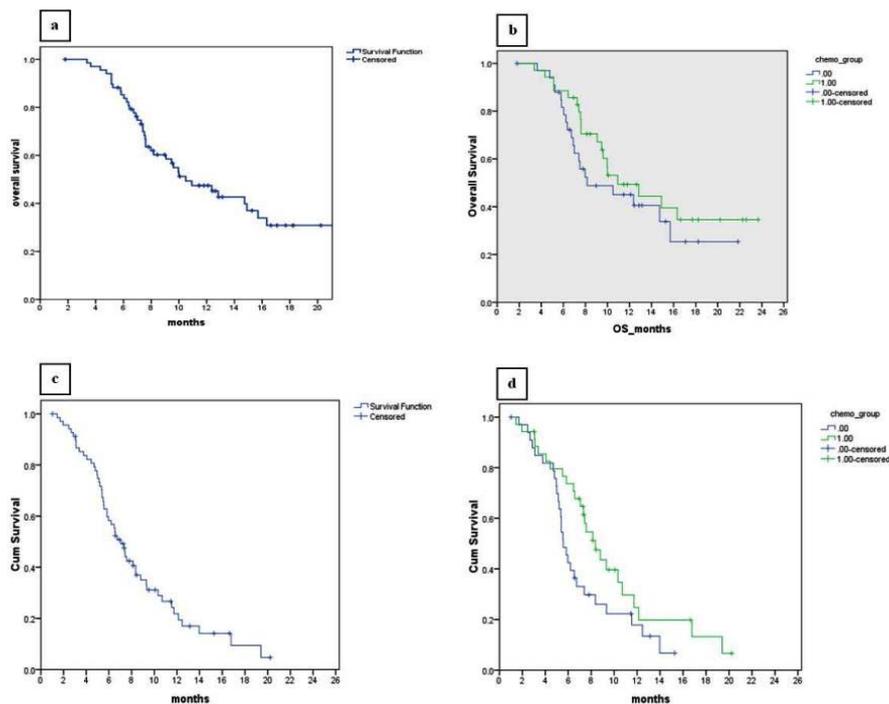
## Results

### Treatment delivery

A total of 428 chemotherapy cycles were administered, CAPOX accounting for 201 cycles and EOX for 227. Median number of cycles was 7 (range, 2-8). Median treatment duration was 171 days. Planned treatment was completed in 45% (n=31) patients. Treatment discontinuation due to grade 3/4 chemotoxicity was seen in 33.3% (n=23) patients; 44% (n=15) and 30% (n=8) in the CAPOX and EOX group respectively (p=0.06). Dose modification was required in 63.8% (n=44) of patients. Capecitabine and oxaliplatin dose modification were seen in 62% (n=43) and 20% (n=14) patients respectively. There were no significant differences between the two groups in relation to number of chemotherapy cycle administered and dose modification (Table 2).

### Safety

A total of 201 chemotherapy cycles were administered in CAPOX group and 227 cycles in EOX group. Incidence of any grade of toxicity (per cycle) between CAPOX and EOX group is summarized in Table 3. Occurrence of grade 3/4 toxicities is summarized and



**Figure 1:** Kaplan-Meier curve for Overall Survival - (A) for entire cohort and (B) for study groups CAPOX (Group 0) and EOX (Group 1); and for Progression-free survival - (C) for entire cohort and (D) for CAPOX (Group-0) and EOX (Group-1).

compared between two groups in Table 3. The incidence of grade 3/4 neutropenia was significantly more in EOX whereas diarrhoea and vomiting were more in CAPOX group.

### Efficacy

The ORR [i.e. complete response (CR), partial response (PR), stable disease (SD)] was 62.3% in the entire cohort and 52.9% (n=18) and 71.4% (n=25) in the CAPOX group and EOX group respectively, (p=0.149). The CR, PR and SD rates were 10%, 42%, 10% respectively in the entire patient population and response rates were not statistically significant between the two study groups (p=0.444).

After a median follow-up of 15.2 months (3.26 - 23.66 month), the median OS was 10.5 months and PFS was 7 months in entire patient cohort while the estimated 1 year OS and PFS were 47.4% and 21.8% respectively. There was no significant difference in the median OS (8.1 and 10.9 months in the CAPOX and EOX groups respectively, p=0.298), however there was a trend favouring PFS in the EOX group (5.5 vs. 8.3 months in CAPOX and EOX respectively, p=0.06). Survival results of the two study groups are shown in Figure 1. At the time of the final analysis, 14 patients of CAPOX group and 16 in EOX group were alive. Second line therapy consisted of docetaxel in 7 patients; metronomic capecitabine therapy in 9 patients, and 4 patients received and tolerated third line FOLFIRI chemotherapy. Out of 14 patients alive in CAPOX group 1 was in CR, 7 in PR and 6 were in progressive disease. Out of 16 patients alive in EOX group, 6 were in PR and 10 in PD.

### Prognostic factors for PFS and OS

Except for site of disease and response to chemotherapy none of the baseline variable had an effect on the PFS in univariate

and multivariate analysis for the entire cohort. In comparison to antropyloric region, body and multifocal tumour had poorer outcome whereas gastroesophageal junction (GEJ) had good outcome. For OS, response to treatment had a good prognosis and higher platelet count >400000/cu mm conferred a poor prognosis (Table 4).

### Discussion

Our study has shown that CAPOX is non-inferior to EOX in terms of ORR, PFS and OS for first line treatment of advanced AGC. A trend favored PFS in EOX arm. However, we observed that there is significantly better tolerability of EOX regimen than CAPOX. Non-hematological toxicity (diarrhea, vomiting) was more in the CAPOX group, and hematological toxicity (neutropenia) was more in the EOX group.

Gastric cancer is a disease of advanced age with a median age of presentation of 65 year in western countries [9]. In our study, the median age of presentation was 55 years that is about one decade earlier. Male outnumbered female with a male to female ratio of 2.23 which is comparable to studies from other Indian states [10,11]. Antropyloric region (63%) was the most common site of disease in our study that is in contrast to the recent increase in the incidence of gastric cancer in gastro-esophageal junction in the western population [12]. In India antropyloric region is still the common site [11]. The mean symptom duration was 3.8 months. About 82% of the patients had metastatic disease at presentation, which gives an indirect idea of delayed presentation or aggressive course of the disease in our cohort. A distinctive finding observed in our patient cohort was increased baseline platelet count (> 400000/cu mm) in 36% patients. In multivariate analysis for overall survival high platelet count had a poor outcome. Li, et al. stated that about 7.5% of patients with AGC

**Table 4:** Cox Proportional Hazards estimate for progression-free survival and overall survival.

Variables		PFS				OS			
		Univariate		Multivariate		Univariate		Multivariate	
		HR (95%CI)	p	HR (95% CI)	p	HR (95%CI)	p	HR (95%CI)	p
Age	≤ 40(15)	1				1			
	41 – 60(38)	0.81 (0.41 – 1.78)	0.541			1.08 (0.51 – 2.27)	0.840		
	> 60(16)	0.71 (0.31 – 1.61)	0.417			0.59 (0.21 – 1.63)	0.312		
Sex	Male (51)	1	0.784			1	0.958		
	Female (18)	.91 (0.49 – 1.69)				1.01 (0.50 – 2.05)			
Performance status	1 (57)	1	0.677			1	0.295		
	2 (12)	0.85 (0.41 – 1.77)				.027 (0.26 – 1.50)			
Weight	≤40 (24)	1				1			
	40-60(37)	0.66 (0.37 – 1.20)	0.178			0.68 (0.34 – 1.34)	0.270		
	≥ 60 (08)	0.62 (0.23 – 1.68)	0.355			1.13 (0.41 – 3.12)	0.810		
Hemoglobin	≤10 (31)	1	0.610			1	0.248		
	>10 (38)	0.86 (0.49 – 1.51)				0.68 (0.36 – 1.30)			
Platelet count	≤4lakh (44)	1	0.726			1	0.055*	2.97 (1.29-5.19)	0.007
	>4lakh (25)	1.10(0.62-1.96)				1.88(0.98-3.58)			
Site	Antropyloric (39)	1				1			
	GEJ (14)	0.47 (.22 – .99)	0.047*	0.39(0.18-0.83)	0.015	0.424 (.16 – 1.11)	0.081		
	Multifocal (9)	1.35 (0.58 – 3.13)	0.484	1.15(0.49-2.68)	0.742	1.32 (0.49 – 3.51)	3.517		
	Body (7)	3.64 (1.44 – 9.20)	0.006*	3.16(1.21-8.22)	0.018	1.59 (0.60 – 4.18)	0.347		
Histology	Adenoca (67)	1				1			
	Squamous cell ca (2)	0.29 (0.18 – 4.30)	0.638			0.95 (0.12 – 5.14)	0.862		
Site of metastasis	1 (37)	1	0.534			1	0.534		
	≥2 (20)	1.24 (0.62 – 2.5)				11.24 (0.62 – 2.5)			
Ascites	Negative (37)	1	0.514			1	0.556		
	positive (19)	1.12 (0.65 – 2.34)				0.53 (0.06 – 4.31)			
Stage	Locally advanced(12)	1	0.974			1	0.228		
	Metastatic (57)	1.01(0.49-2.08)				1.76(0.695-4.58)			
Prior surgery	Not done (29)	1	0.289		0.166	1	0.379		
	Done (40)	0.74(0.43-1.28)				0.72(0.35-1.48)			
Response category	CR/PR/SD(43)	1	0.000*	4.69(2.56-8.62)	0.000	1	0.006*	2.79 (1.43-5.46)	0.003
	PD/UR(25)	4.31(2.41-7.73)							
Dose modification	Yes(45)	1	0.929			1	0.075*		
	No (24)	1.02(0.58-1.81)				0.56(0.29-1.06)			

Variables with p-value of <0.25 were entered into a multivariate analysis.

presented with increased platelet count and this was associated with poor prognosis [13].

The median numbers of chemotherapy cycles administered were 6.5 in CAPOX and 8 in EOX arm respectively. In a phase two trial by Quek, et al. median number of CAPOX cycles administered was five whereas a median of 6 cycles were administered in the EOX arm in the REAL 2 study [5,14]. 42% patient completed CAPOX and 58% EOX regimen. The baseline patient profile, responses and toxicities of CAPOX and EOX are comparable to other studies [5,6,14-18]. Both the chemotherapy regimens were well tolerated. Anemia was significantly more in EOX arm whereas non-hematological toxicity such as diarrhea and vomiting were more in CAPOX group. There was a trend of more chemotherapy discontinuation due to grade 3/4 toxicity in the CAPOX arm due to troublesome non-hematological

toxicity. The hematological toxicity was well managed without G-CSF support. In the epirubicin, cisplatin, fluorouracil (ECF) vs. cisplatin and fluorouracil (CF) trial, the incidence of neutropenia and mucositis were more in the ECF arm but nausea, vomiting and diarrhea were not significantly different between the groups [19]. The increased incidence of diarrhea and vomiting in our population occurred probably due to intolerance to capecitabine at the study dose as almost 70% of the patients required dose modification in the CAPOX arm. We consider from our data that the increase in non-hematological toxicities in the CAPOX group is due to the dose of capecitabine in the above regimen. Midgley, et al. mentioned that there need not be a universally applicable starting dose of capecitabine because of inter-patient differences in physiology, pharmacogenomics, inter regional geographical and dietary pattern [20].

The ORR, PFS, and OS were not significantly different between two groups, though there was a trend favouring PFS in the EOX arm. There are two studies comparing anthracycline-based triplet chemotherapy with platinum and fluorouracil-based doublet such as ECF vs. CF and epirubicin, cisplatin and capecitabine (ECX) vs. cisplatin and capecitabine (CX). In these two studies, there was no significant difference in PFS and OS between the study arms [19,21]. Response to treatment was a good prognostic factor for both PFS and OS. On multivariate analysis for PFS, site of disease was the only baseline variable that had an effect on the PFS besides response. In comparison to antropyloric region, body had poorer outcome [HR 3.64 (1.44-9.20),  $p = 0.006$ ] whereas GEJ had good outcome [HR 0.474(0.22-.99)  $p=0.047$ ]. On multivariate analysis for OS, higher platelet count  $>400000/\text{cu mm}$  conferred a poor prognosis. Poorer outcome with higher platelet count ( $>400000/\text{cu mm}$ ) was also described by Li, et al. [13]. In a multivariate prognostic factor analysis by Chau, et al. in locally advanced/metastatic gastric cancer patients, ECOG performance status  $\geq 2$ , high alkaline phosphatase level ( $>100$  U/L) and site of metastasis (peritoneum, liver) had poor outcome [22].

## Conclusion

In conclusion, EOX is a better-tolerated regimen than CAPOX with no advantage in RR or OS. PFS trend favoring EOX has to be confirmed with larger sample size and longer follow-up. Whether a modified CAPOX designed to improve tolerance can eliminate the need for epirubicin without loss of efficacy requires evaluation in further study.

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## Authorship

Study concept and design: Pani CK, Dubashi B, Verma SK, Sistla SC. Provision of patient and study material: Dubashi B, Sistla SC, Verma SK, Cyriac SL, Kayal S. Data collection and analysis: Pani CK, Kayal S, Dubashi B, Dhanraj KM, Cyriac SL. Manuscript writing: Pani CK, Kayal S, Dubashi B, Cyriac SL. Final approval of manuscript: all authors.

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