Research Article

Radiation Protection: Do We Need to Scan the Whole Lumbar Spine in Suspected Lumbar Spine Stenosis?

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Abstract

Objectives: To test two hypotheses that the scan length could be reduced in patients younger than an age threshold below which lumbar stenosis in the two upper lumbar levels never occurs, and that an anteroposterior spinal canal diameter cut-off at the level of the L3 pedicles could rule out a congenital stenosis at the L1 and/or L2 levels.

Methods: MR examinations of 55 healthy volunteers and 200 patients with suspected spinal canal stenosis were included. The anteroposterior diameter of the spinal canal was measured at each pedicle and each disk levels by two readers who also subjectively assessed the presence of stenosis.

Results: Degenerative spinal canal stenosis never occurs at the upper two lumbar disk levels in patients younger than 55 years. The anteroposterior diameter of the spinal canal diminished from L1 to L3 in both healthy volunteers and patients. An anteroposterior diameter of the spinal canal at the L3 pedicles level \geq 11 mm excluded a diameter <10 mm at L1 and/or L2 pedicles levels.

Conclusion: A substantial reduction of the radiation dose from CT could be achieved by limiting the scan length from L3 to S1 in patients younger than 55 years provided that the anteroposterior diameter of the spinal canal is >11 mm at the L3 pedicles level.

Key points:

• An anteroposterior diameter of the spinal canal at the level of the L3 pedicles >11 mm rules out a congenital stenosis at the levels of L1 and L2.

• The CT acquisition length can be limited from L3 to S1 in patients younger than 55 years.

• Limiting the scan length from L3 to S1 reduces the delivered radiation dose by 40 or 50% compared with scanning from L1 or Th12 to S1.

Keywords: Radiation protection; Computed tomography; X-ray lumbar vertebrae

Abbreviations

CT: Computed Tomography; ET: Echo Time; MR: Magnetic Resonance; NA: Not Applicable; ND: No Discordance; PACS: Archiving and Communicating System; ROC: Receiver Operating Characteristic; RT: Repetition Time; SD: Standard Deviation; ST: Slice Thickness; STIR: Short-T1 Inversion Recovery; 95%CI: 95% Confidence Interval; L1: First Lumbar Vertebral Body; L2: Second Lumbar Vertebral Body; L3: Third Lumbar Vertebral Body; L4: Fourth Lumbar Vertebral Body; L5: Fifth Lumbar Vertebral Body; L1-L2: First Lumbar Disk; L2-L3: Second Lumbar Disk; L3-L4: Third Lumbar Disk; L4-L5: Forth Lumbar Disk; L5-S1: Fifth Lumbar Disk; S1: First Sacrum Vertebral Body

Introduction

The lumbar canal normally narrows downward from L1 to S1 but its dimensions can be abnormally reduced. This phenomenon, so-called lumbar spinal stenosis, may be congenital or acquired, and is characterized by narrowing of the central spinal canal, lateral recess, and/or neural foramina at the level of lumbar vertebrae [1-4]. Acquired stenosis is often caused by osteoarthritis, disc degeneration, or ligament thickening, is related to ageing, and occurs most often in the lower lumbar spine [5]. Congenital stenosis occurs most often in the upper lumbar spine where it may cause neurogenic claudication [1-5]. The diagnosis is based on the patient's history, clinical signs, and cross-sectional imaging, either by Computed Tomography (CT) or Magnetic Resonance (MR), for confirming and localizing the spinal stenosis. Both techniques perform similarly with sensitivity ranging from 88 to 94% and specificity from 57 to 88% [5-9]. As it does not require ionizing radiation, MR should be preferred. Nevertheless, CT is frequently used as more accessible and less expensive than MR [9,10], and like MR, CT can image the whole lumbar spine from T12 to S1 [10-12].

At CT, radiologists should optimize the radiation dose, particularly in young patients. Reducing the scan length contributes to this optimization [13]. Such a strategy could be applied in patients with suspected spinal stenosis, particularly in young patients in whom the stenosis may be congenital, provided that reduced scanned length does not result in misdiagnosis. Reducing this length from L2or even from L3-to S1 instead of scanning the whole lumbar spine from L1 to S1 will decrease the radiation dose by 20 to 40%. Acquired stenosis-which can also occur in L1-L2 and/or L2-L3-is a degenerative disorder related to ageing. The scan length could be reduced in patients younger than an age threshold below which lumbar stenosis in the two upper lumbar levels never occurs. In addition, in order to not miss congenital stenosis in these patients, the scan length could be limited from L3 to S1 if the diameter of the lumbar canal in L3 reflects that at the level of L1 and L2. The aims of this study were therefore to investigate the relationships between 1°) patient's age and lumbar spine stenosis in order to find an age threshold, and 2°) canal dimensions in L1, L2, and L3, in both a group of patients referred for low back pain, sciatica, or suspected lumbar spine stenosis as well as in a group of normal asymptomatic volunteers.

Materials and Methods

Patients

Our local ethical committee approved this retrospective study and waived patient informed consent. As we do not routinely acquire CT scans of the whole lumbar spine (i.e., from T12 to S1), we based this study on MR. All patients referred for MR from July 2014 to June 2016 for low back pain, sciatica, or suspected lumbar spine stenosis, without a recent history of trauma, known neoplasm, or lumbar spine surgery and in whom a lumbar spine stenosis was either suspected or confirmed were retrieved from our Picture Archiving and Communicating System (PACS) (Telemis[°]) and anonymized. In case of repeated examinations, only the first was retrieved. In addition, we included 55 asymptomatic healthy volunteers who were included in another study approved by the local ethical committee.

Healthy volunteers

The group of healthy volunteers included 55 subjects (18 men) aged from 21 to 63-years-old (mean \pm SD); 36 years \pm 12) included in a previous (unpublished) study also approved by the local ethical committee. These subjects were recruited from the medical and paramedical staffs of our institution with the following inclusion criteria: age over 18; no prior consultation with a physician, physiotherapist, or osteopath for low back pain; no absence from work for low back pain; no previous history of spine trauma, spine infection, spine surgery, lumbar spine infiltration, neoplasia, or rheumatic disease, and no contra-indication to MR.

MR technique

MR was performed at 1,5 T in all patients (Aera; Siemens Healthineers). The protocol included sagittal T1 and T2-weighted images, axial T2-weighted images, focused on the levels of abnormalities, and T2-weighted myelographic images in patients with severe stenosis as evaluated by the radiologist in charge of the examination who was not involved in image reading for the present study. Parameters for axial T2-weighted images consisted of a repetition time (RT) of 3810 ms, an Echo Time (ET) of 128 ms and a Slice Thickness (ST) of 3 mm; for sagittal T2-weighted images, parameters consisted of 4480 ms, 120 ms and 4 mm respectively; for sagittal T1-weighted images, parameters consisted of 495 ms, 8,6 ms and 4 mm respectively; for additional coronal myelographic

sequences, parameters consisted of 5,45 ms, 2,73 ms et 1 mm respectively.

MR was performed at 1,5 T in all healthy volunteers (Intera^{*}, Philips Healthcare). The protocol included sagittal T1, T2 and axial STIR images. Only sagittal T1-weighted images sequences were used in this study and parameters consisted of a RT of 600 ms, an ET of 13 ms and a slice thickness of 4 mm.

All sequences used were anonymized and stored in the Picture Archiving and Communication System (PACS) by a radiology resident who was not involved in the image analysis.

MR images reading

MR images were viewed on a clinical workstation equipped with two color monitors with five megapixels resolution (Eizo GmBH). Two radiologists (blinded for review) with respectively 15 and 30 years' experience in reading lumbar spine MR examinations were asked independently 1°) to measure with the caliper on the workstation the anteroposterior diameter of the lumbar spine canal of the dural sac-at the level of each pair of pedicles on the T1-weighted sagittal images and of each disk on the T2-weighted sagittal images (14), and 2°) on the basis of their visual assessment of T1 or T2-weighted images, to code the canal stenosis as present or absent at the level of each pair of pedicles and each disk.

In order to assess the intra-reader reproducibility, the 20 first MR examinations were read twice with a time interval of at least one month. In healthy volunteers, two other readers (blinded for review) with respectively 10 and three years of experience in reading lumbar spine MR examinations were asked to measure the anteroposterior diameter of the canal at the level of the pedicles of L1, L2, and L3.

Statistical analysis

According to consensus statements from the literature, we used a 10 mm anteroposterior diameter of the spinal canal as cut-off value to define the presence (<10 mm) or absence (≥10 mm) spinal canal stenosis [14-16]. The diameters of the spinal canal at the L1, L2, and L3 pedicles levels were compared in healthy volunteers by a linear mixed model (longitudinal model) including an order 1 auto-correlation structure, with level as fixed effect and a "by-subject" random effect. Inter- and intra-reader comparisons of anteroposterior diameter of the lumbar spine canal at the ten anatomical levels were made by t-paired tests. Inter- and intra-reader comparisons of frequencies of stenosis at the ten anatomical levels (number of stenosis at each level divided by the number of subjects) were made by a McNemar test. A Receiver Operating Characteristic (ROC) curve analysis was performed to assess the ability of L3 diameter to detect or exclude L1 or L2 diameter ≤10 mm [14-16]. The R software was used for statistical analyses [17]. A P-value lower than 0.05 was considered as statistically significant (two-tail).

Results

Healthy volunteers

The anteroposterior diameters of the spinal canal at the pedicles levels of L1 to L3 in the 55 healthy subjects are displayed in Figure 1.

Patients

Twelve, 37, and 61 patients were respectively excluded for history



Figure 1: Anteroposterior diameter (mean \pm standard deviation) of the spinal canal measured by each reader at the levels of L1, L2, and L3 pedicles in healthy volunteers.

 Table 1: Mean ± Standard Deviation of Anteroposterior Diameter of the Lumbar

 Spine Canal at the Ten Anatomical Levels in 200 Patients with Suspected Spinal

 Canal Stenosis and their Comparison between Readers.

Level		Diamete	P voluo*	
		Reader 1	Reader 2	r-value
	L1	14.4 ± 1.5	13.9 ± 1.6	<0.001
Pedicles	L2	13.1 ± 1.8	13.0 ± 1.8	0.103
	L3	11.7 ± 2.0	11.7 ± 2.1	0.561
	L4	10.7 ± 2.5	10.9 ± 2.5	0.047
	L5	10.1 ± 2.9	10.6 ± 2.7	<0.001
Disk	L1-L2	12.5 ± 2.3	12.2 ± 2.5	<0.001
	L2-L3	10.7 ± 2.5	10.4 ± 2.7	<0.001
	L3-L4	9.1 ± 2.9	9.1 ± 3.0	0.322
	L4-L5	8.5 ± 3.1	8.6 ± 3.2	0.243
	L5-S1	10.4 ± 2.7	10.6 ± 2.7	<0.001

*Paired t-tests.

Table 2: Visual Evaluation of Lumbar Spine Stenosis by Each Reader and their Agreements.

		Number of Stenosis		Agreements (Kappa Coefficients)			
					Within Readers (20 patients)		
		Reader 1	Reader 2	Between Readers (200 patients)	Reader 1	Reader 2	
Pedicles Level	L1	0	0	NA	NA	NA	
	L2	0	0	NA	NA	NA	
	L3	1	2	-0.007	NA	NA	
	L4	19	11	0.57	1	1	
	L5	31	11	0.378	0.692	0.459	
Disk Level	L1-L2	2	3	0.392	NA	NA	
	L2-L3	14	16	0.856	0	1	
	L3-L4	49	52	0.748	0.138	0.138	
	L4-L5	80	77	0.779	0.791	0.894	
	L5-S1	17	15	0.593	0.318	1	

NA: Not Applicable.

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Table 3: Frequency of Stenosis at Each Level: Inter-Reader Agreements in 200 Patients.

		Frequency (%)		
		Reader 1	Reader 2	P-value*
	L1	0	0	ND
	L2	0	0	ND
Pedicles Level	L3	0.5	1	0.564
	L4	10	5.5	0.021
	L5	15.5	5.5	<0.001
	L1-L2	1	1.5	0.564
	L2-L3	7	8	0.317
Disk Level	L3-L4	24.5	26	0.491
	L4-L5	40	38.5	0.513
	L5-S1	8.5	7.5	0.564

'Mc Nemar test; ND: No Discordance.

of recent trauma, known neoplasm, and lumbar spine surgery. Two hundred patients finally constituted our study group. There were 94 men and 106 women. Mean age (\pm standard deviation, SD) was 56 \pm 16. The indications for the MR examination were suspected lumbar spine stenosis (including claudication and bilateral inferior limbs paresthesia) in 132 patients (66%), low back pain in 166 patients (83%), right femoral-sciatic neuropathy in 50 patients (25%), left femoral-sciatic neuropathy in 38 patients (19%), and bilateral femoral-sciatic neuropathy in 40 patients (20%).

The anteroposterior diameters of the lumbar spine canal measured by both readers in 200 patients with suspected spinal canal stenosis and their comparisons are summarized in Table 1. Results of the visual evaluation of the lumbar spine stenosis by both readers and their agreements are summarized in Table 2. The agreements within readers in the 20 first patients and the frequency of those with stenosis at each lumbar level are displayed in Table 2. The frequency of stenosis according to each level and the inter-reader agreements in 200 patients is displayed in Table 3 and displayed in Figure 2 according to patient's age. The diameters at the level of the L3 pedicles

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Table 4: ROC Curve Analysis: Assessment of L3 Diameter Ability to Predict or Exclude L1 or L2 diameter ≤10 mm.

	Prevalence (%) [*]	Area Under the Curve [IC95%]	Sensitivity	Specificity	Positive predictive Value	Negative Predictive Value
Reader 1	5	0.87 [0.78-0.95]	0.89**	0.66**	0.11"	0.99**
Reader 2	4	0.96 [0.91-0.99]	1.00**	0.66**	0.11"	1.00**

^{*}: prevalence of L1 or L2 diameter ≤10 mm.

": cutoff value of L3 diameter = 11 mm.



associated with the anteroposterior diameters at the levels of both L1 and L2 pedicles level ≥ 10 mm or with one of these diameters <10 mm are displayed in Figure 3. As shown in this figure, there is a cut-off value of L3 diameter for discriminating normal *vs.* abnormal diameter superior or equal to 10 mm *vs.* inferior to 10 mm in L1 or L2, considering this cut-off value as usually recommended and most frequently used by experts [14-16]. The cut-off values differed between readers and equal 10.1 mm for Reader 1 and 11.1 mm for Reader 2. We also calculated the area under the ROC curve that was 0.87 (95% CI: 0.78-0.95) and 0.96 (95% CI: 0.91-0.99), respectively for Reader 1 and 2. The corresponding negative predictive value was 0.99 and 1.00, respectively for Readers 1 and 2 for an L3 diameter cutoff equal to 11 mm. Sensitivity, specificity, and positive predictive values are reported in Table 4 for this cutoff value.

Discussion

This study shows the following: 1°) acquired spinal canal stenosis occurs between L3-L4 and L5-S1 in most of the patients (95% in this study group); 2°) no acquired spinal canal stenosis at the levels L1-L2 and L2-L3 occurs in patients younger than 55 years (44% of our patients); 3°) as expected, the anteroposterior diameter of the spinal canal diminishes from L1 pedicles to L3 pedicles; 4°) a anteroposterior diameter ≥11 mm at the L3 pedicles level excludes a congenital spinal stenosis at levels of L1 and L2; and 5°) a diameter ≥10 mm at level of L3 pedicles has an almost perfect negative predictive value for excluding a spinal canal stenosis (<10 mm) at levels of L1 and L2. These findings deserve further discussion with regard to acquired and congenital spinal canal stenosis and have implications for radiation protection.

Acquired stenosis does not occur at L1 to L3 lumbar levels in patients younger than 55 years. These patients could therefore be scanned from L3 to S1 instead of L1 to S1, reducing the acquisition length and the subsequent dose-length product by approximately 40%. Only patients older than 55 years would benefit from scanning



Figure 3: Anteroposterior diameter at the level of L3 pedicles associated with diameters at levels L1 and L2 pedicles >10 mm versus \leq 10 mm. Horizontal lines indicate, for both readers, diameters at level of L3 pedicle above which neither diameters at level L1 and L2 were \leq 10 mm.

their whole lumbar spine. The radiation-related risk is lower in these patients than in younger ones as this risk decreases with age [18]. However, reducing the acquisition length would be acceptable in patients younger than 55 years only if the anteroposterior diameter of their spinal canal measured at the L3 pedicles level could predict that at the L1 and L2 pedicles levels. This is confirmed by our findings with a 100% negative predictive value associated with the 11 mm cutoff value at the L3 pedicles level. In these patients, the anteroposterior diameter of the spinal canal at the L3 pedicles level could be measured before planning the actual acquisition length. This may be achieved by two means: first, a single reduced-dose axial scan at the level of the L3 pedicles before the helical acquisition with a dose of less than 1% of that delivered by the helical acquisition; or second, on scanners with large arrays of 16 cm length, the anteroposterior diameter at the L3 pedicles level could be measured on the first slice reconstructed from an acquisition range from L3 to S1. If this diameter is <11 mm, a second range could cover L1 to L3 and both image sets merged thereafter. As congenital spinal canal stenosis is rare, a vast majority of patients younger than 55 years would benefit from this 40% radiation dose reduction.

In terms of radiation protection and optimization, several strategies have been proposed to minimize the radiation dose delivered for imaging CT of the lumbar spine by CT. First, the tube current can be reduced by 35% compared with standard default protocols [9]. Second, iterative reconstructions allow further reductions through substantial noise reduction [11,19]. Third, acquisition parameters other than the tube current such as the automatic exposure control strength curve can be optimized, yielding a 10% possible additional reduction in all patients [20]. Our study shows that a potential 40% additional dose reduction is achievable in patients younger than 55 years by reducing the acquisition length from L3 pedicles to S1. This 40% dose reduction could even be up to 50% if the local routine acquisition length includes the whole lumbar spine from T12 pedicles

as reported in surveys [21].

This study has some limitations. First, we assumed that MRI and CT were equivalent for assessing spinal canal stenosis and extrapolated findings from MRI to CT. Our assumption seems reasonable as both techniques perform well with very similar sensitivity (ranging from 88 to 94%) and specificity (ranging from 57 to 88%) [6-9]. Second, we included few patients with true congenital spinal stenosis. If its prevalence were higher, the observed negative predictive value of the anteroposterior diameter at the L3 pedicles level ≥10 mm would be reduced. The low proportion of congenital spinal stenosis however reflects our clinical practice and is likely to be observed elsewhere. Third, the disagreements between readers impact the negative predictive value of the diameter at the L3 pedicles level for ruling out a canal stenosis at the L1 or L2 levels. These discrepancies are well known and reflect the limitations of any lumbar spine imaging technique to predict the clinical outcome of patients with lumbar canal stenosis [15,22]. Finally, we limited our evaluation of the spinal canal to its anteroposterior diameter and did not consider the transverse surface of the dural sac. We were unable to do so as we did not obtain transverse scans with our MR system at all of the ten levels where we planned to measure the spinal canal. Nevertheless, for the diagnosis of lumbar spine stenosis, the use of the anteroposterior diameter measurement reaches a large consensus among experts [14-16].

In conclusion, a substantial limitation of the radiation dose when scanning the lumbar spine could be implemented by limiting the scan range to L3-S1 in patients younger than 55 years provided that the anteroposterior diameter of the spinal canal could be measured >11 mm at the level of L3.

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